SEATRADE Cruise Shipping Convention

March 16, 2004



# Background:

Concurrent to ISPS Code development, U. S. developed the Maritime Transportation Security Act (MTSA) of 2002 in NOV 2002.

MTSA requires the Secretary [DHS] to assess the antiterrorism measures maintained in foreign ports, including -

- Cargo Screening
- Access Control
- Security Management Program

This responsibility has been delegated to the Coast Guard.

The Coast Guard has established the International Port Security Program.





# Int'l Problem Requiring Int'l Solution









To engage in bilateral or multilateral discussions with trading nations to share and align maritime security practices.

#### A multi-phased approach:

- Preliminary Information Exchange
- In Country Information Exchange
- Port Facility Surveys
- Continuous dialogue





#### Information Exchange –

- Interpretation of the ISPS Code by the host nation.
- Compliance infrastructure familiarization.
  - Designation and oversight of RSOs
  - Designation of "Port Facilities"
  - Determination of Security Levels and dissemination
  - Security assessment and plan approval
- Implementation of the ISPS Code at port facilities.





Port Facility Survey involves -

- Deployment of U.S. based team comprised of DHS representatives.
- Visits to port facilities in host countries.
- Observation of implemented security practices.
- Utilization of international standards for security assessments and plans.
- Communication of findings and observations.





#### Continuous Dialogue -

- Regional Port Security Program Coordinators
  - Asia-Pacific Region, CDR Jung Lawrence

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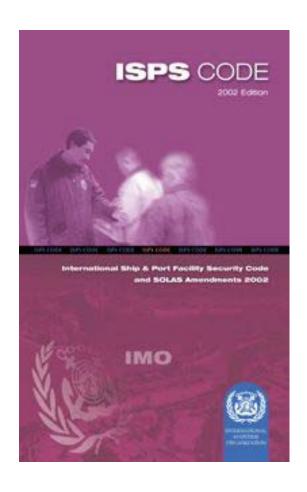
South/Central Region: <a href="mailto:securityinfoexchange@comdt.uscg.mil">securityinfoexchange@comdt.uscg.mil</a>

Engage in exchange of best security practices and learn the country's implementation of international maritime security standards from a port state and flag state perspective.





# Compliance with Int'l Standards

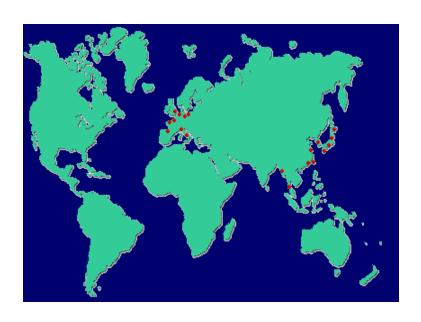


- International standards (e.g. ISPS Code) will be used as the baseline to determine compliance.
  - ISPS Code is generally performance based and compliance may be achieved in a variety of ways
  - Part A and Part B
- ILO Code of Practice for Port Security.
- ISO Assessment and Security Plan Development standards.





### Countries to be visited



- Countries that are called on by U.S. vessels, have a last port of departure for any vessel coming to the U.S or originate/transship cargo coming to the US.
- Visit order prioritized based on several factors
- Will work on a country to country basis to schedule visits
- Reciprocal visits extended to other countries to study U.S. approach to implementing ISPS Code





# Areas of Interest During Visits



- Security organization of the Port Facility
- Adequacy of physical security measures in place
- Adequacy of procedural security measures in place
- Adequacy of security in ship/port facility interface operations
- Training and Exercises





# Follow-up Actions

Countries that have not implemented the international security standards or have difficulties in implementing may experience:

- 90 day period to take corrective actions to implement security measures through dialogue w/CG Regional Coordinators.
- Port security training information through international organizations or U.S. federal agencies.
- Potential additional Port State Control measures for vessels arriving from ports in the country





# Summary



Focus on countries rather than individual ports, with visits to ports.

To determine compliance with international standards based on the host country's interpretation.

Vessels arriving from ports not in compliance with ISPS Code will be subject to increased Port State Control actions.





### Information Resources

Navigation Vessel Inspection Circulars (NVICs)

- Port Security Plans (NVIC 9-02, Change 1)
- Vessel Security Plans (NVIC 04-03)
- Facility Security Plans (NVIC 03-03)
- OCS Facility Plans (NVIC 05-03)

http://www.uscg.mil/hq/g-m/nvic/index0.htm

Cost Guard ISPS/MTSA "HELP"

http://www.uscg.mil/hq/g-m/mp/mtsa.shtml

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# Homeland Security